

NOV '04 2009

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** Form PTO/SB/08a

(Use as many sheets as necessary)

Application Number	09/427,938
Filing Date	October 27, 1999
First Named Inventor	John S. HENDRICKS
Art Unit	2142
Examiner Name	Michael D. Meucci
Attorney Docket Number	026880.00004

Sheet 1 of 13

## **Office Actions in the related U.S. cases**

Examiner Initials	Cite No.	Date of Office Action	U.S. Application Serial Number	Attorney Docket No.
	1	09/24/1996	29/030,157	026880-00022
	2	09/08/2009	12/010,045	026880-00167
	3	09/04/2009	09/964,883	026880-00034
	4	02/17/2009	09/964,883	026880-00034
	5	09/17/2008	09/964,883	026880-00034
	6	02/06/2008	09/964,883	026880-00034
	7	06/22/2007	09/964,883	026880-00034
	8	01/03/2007	09/964,883	026880-00034
	9	07/12/2006	09/964,883	026880-00034
	10	02/02/2006	09/964,883	026880-00034
	11	06/15/2005	09/964,883	026880-00034
	12	09/27/2004	09/964,883	026880-00034
	13	08/10/2007	09/964,882	026880-00019
	14	05/22/2006	09/964,882	026880-00019
	15	12/02/2005	09/964,882	026880-00019
	16	03/22/2005	09/964,882	026880-00019
	17	07/22/2005	09/964,880	026880-00028
	18	01/12/2005	09/964,880	026880-00028
	19	05/09/2005	09/964,857	026880-00007
	20	07/07/2004	09/964,857	026880-00007
	21	02/08/2006	09/723,282	026880-00043
	22	08/17/2005	09/723,282	026880-00043
	23	06/03/2004	09/723,282	026880-00043
	24	05/19/2005	09/723,280	026880-00009
	25	06/07/2004	09/723,280	026880-00009
	26	08/24/2005	09/722,823	026880-00041
	27	01/04/2005	09/722,823	026880-00041
	28	07/18/2002	09/722,744	026880-00023
	29	01/16/2002	09/722,744	026880-00023
	30	10/05/2005	09/722,743	026880-00036
	31	12/16/2004	09/722,743	026880-00036
	32	01/11/2008	09/722,742	026880-00021
	33	05/29/2007	09/722,742	026880-00021
	34	10/02/2006	09/722,742	026880-00021
	35	04/13/2006	09/722,742	026880-00021
	36	10/07/2003	09/722,742	026880-00021
	37	03/24/2005	09/722,741	026880-00033
	38	06/28/2004	09/722,741	026880-00033
	39	08/24/2005	09/722,740	026880-00039
	40	05/24/2004	09/722,740	026880-00039
	41	04/10/2009	09/722,626	026880-00038
	42	12/05/2008	09/722,626	026880-00038
	43	06/09/2008	09/722,626	026880-00038

Examiner  
Signature

/Patrice Winder/

Date  
Considered

12/21/2009

Substitute for form 1449A/PTO

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
Form PTO/SB/08a**

Application Number 09/427,938  
Filing Date October 27, 1999  
First Named Inventor John S. HENDRICKS  
Art Unit 2142  
Examiner Name Michael D. Meucci

(Use as many sheets as necessary)  
Sheet 2 of 13

Attorney Docket Number 026880.00004

**Office Actions in the related U.S. cases**

Examiner Initials	Cite No.	Date of Office Action	U.S. Application Serial Number	Attorney Docket No.
	44	10/30/2007	09/722,626	026880-00038
	45	05/08/2007	09/722,626	026880-00038
	46	08/01/2006	09/722,626	026880-00038
	47	02/22/2006	09/722,626	026880-00038
	48	06/20/2005	09/722,626	026880-00038
	49	12/20/2006	09/722,519	026880-00017
	50	08/15/2006	09/722,519	026880-00017
	51	03/15/2006	09/722,519	026880-00017
	52	11/22/2005	09/722,519	026880-00017
	53	04/14/2005	09/722,519	026880-00017
	54	08/10/2004	09/722,519	026880-00017
	55	01/18/2006	09/722,463	026880-00042
	56	07/27/2005	09/722,463	026880-00042
	57	01/12/2005	09/722,463	026880-00042
	58	06/06/2005	09/722,463	026880-00040
	59	06/04/2004	09/722,462	026880-00040
	60	05/17/2005	09/722,460	026880-00015
	61	06/18/2004	09/722,460	026880-00015
	62	02/09/2007	09/722,456	026880-00012
	63	08/11/2006	09/722,456	026880-00012
	64	02/23/2006	09/722,456	026880-00012
	65	05/05/2005	09/722,456	026880-00012
	66	06/16/2004	09/722,456	026880-00012
	67	07/30/2009	09/539,773	026880-00031
	68	06/24/2009	09/539,773	026880-00031
	69	02/25/2009	09/539,773	026880-00031
	70	08/25/2008	09/539,773	026880-00031
	71	11/29/2007	09/539,773	026880-00031
	72	11/24/2006	09/539,773	026880-00031
	73	08/02/2006	09/539,773	026880-00031
	74	04/04/2006	09/539,773	026880-00031
	75	06/15/2005	09/539,773	026880-00031
	76	06/22/2004	09/539,773	026880-00031
	77	04/06/2009	09/520,344	026880-00035
	78	10/23/2008	09/520,344	026880-00035
	79	06/13/2008	09/520,344	026880-00035
	80	12/26/2007	09/520,344	026880-00035
	81	08/03/2007	09/520,344	026880-00035
	82	06/23/2005	09/520,344	026880-00035
	83	11/12/2008	09/441,892	026880-00011
	84	04/29/2008	09/441,892	026880-00011
	85	11/02/2007	09/441,892	026880-00011
	86	06/18/2007	09/441,892	026880-00011

Examiner Signature /Patrice Winder/ Date Considered 12/21/2009

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Application Number 09/427,938  
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First Named Inventor John S. HENDRICKS  
Art Unit 2142  
Examiner Name Michael D. Meucci

Sheet 3 of 13

Attorney Docket Number 026880.00004

**Office Actions in the related U.S. cases**

Examiner Initials	Cite No.	Date of Office Action	U.S. Application Serial Number	Attorney Docket No.
	87	11/24/2006	09/441,892	026880-00011
	88	02/03/2006	09/441,892	026880-00011
	89	05/17/2005	09/441,892	026880-00011
	90	08/24/2004	09/441,892	026880-00011
	91	06/19/2009	09/427,939	026880-00010
	92	08/16/2007	09/427,939	026880-00010
	93	03/29/2007	09/427,939	026880-00010
	94	06/07/2006	09/427,939	026880-00010
	95	05/20/2005	09/427,939	026880-00010
	96	05/28/2009	09/427,938	026880-00004
	97	12/03/2008	09/427,938	026880-00004
	98	04/18/2008	09/427,938	026880-00004
	99	10/19/2007	09/427,938	026880-00004
	100	06/15/2007	09/427,938	026880-00004
	101	01/31/2007	09/427,938	026880-00004
	102	06/07/2006	09/427,938	026880-00004
	103	04/25/2005	09/427,938	026880-00004
	104	12/30/2005	09/400,296	026880-00018
	105	04/08/2005	09/400,296	026880-00018
	106	06/25/2009	09/391,461	026880-00032
	107	01/07/2009	09/391,461	026880-00032
	108	07/03/2008	09/391,461	026880-00032
	109	12/13/2007	09/391,461	026880-00032
	110	06/22/2007	09/391,461	026880-00032
	111	11/17/2006	09/391,461	026880-00032
	112	03/28/2006	09/391,461	026880-00032
	113	06/15/2005	09/391,461	026880-00032
	114	06/30/2004	09/391,461	026880-00032
	115	08/10/2009	09/344,499	026880-00014
	116	02/18/2009	09/344,499	026880-00014
	117	02/08/2008	09/344,499	026880-00014
	118	06/14/2007	09/344,499	026880-00014
	119	12/15/2006	09/344,499	026880-00014
	120	06/30/2006	09/344,499	026880-00014
	121	05/06/2005	09/344,499	026880-00014
	122	07/13/2009	09/344,492	026880-00029
	123	01/23/2009	09/344,492	026880-00029
	124	08/05/2008	09/344,492	026880-00029
	125	01/23/2008	09/344,492	026880-00029
	126	07/11/2007	09/344,492	026880-00029
	127	12/19/2006	09/344,492	026880-00029
	128	06/26/2006	09/344,492	026880-00029
	129	01/24/2006	09/344,492	026880-00029

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Art Unit 2142  
Examiner Name Michael D. Meucci

(Use as many sheets as necessary)  
Sheet 4 of 13

Attorney Docket Number 026880.00004

**Office Actions in the related U.S. cases**

Examiner Initials	Cite No.	Date of Office Action	U.S. Application Serial Number	Attorney Docket No.
	130	06/06/2005	09/344,492	026880-00029
	131	01/23/2009	09/335,268	026880-00020
	132	07/09/2008	09/335,268	026880-00020
	133	01/10/2008	09/335,268	026880-00020
	134	05/17/2007	09/335,268	026880-00020
	135	10/02/2006	09/335,268	026880-00020
	136	04/13/2006	09/335,268	026880-00020
	137	10/28/2005	09/335,268	026880-00020
	138	02/24/2005	09/335,268	026880-00020
	139	02/19/2009	09/328,672	026880-00026
	140	06/16/2004	09/328,672	026880-00026
	141	07/07/2009	09/289,958	026880-00025
	142	12/24/2008	09/289,958	026880-00025
	143	07/29/2008	09/289,958	026880-00025
	144	01/18/2008	09/289,958	026880-00025
	145	07/31/2007	09/289,958	026880-00025
	146	03/23/2007	09/289,958	026880-00025
	147	06/06/2006	09/289,958	026880-00025
	148	01/03/2005	09/289,958	026880-00025
	149	07/22/2009	09/289,957	026880-00024
	150	11/18/2008	09/289,957	026880-00024
	151	03/25/2008	09/289,957	026880-00024
	152	07/06/2007	09/289,957	026880-00024
	153	10/11/2006	09/289,957	026880-00024
	154	04/21/2006	09/289,957	026880-00024
	155	11/03/2005	09/289,957	026880-00024
	156	11/04/2004	09/289,957	026880-00024
	157	09/09/2009	09/289,956	026880-00037
	158	03/17/2009	09/289,956	026880-00037
	159	11/13/2008	09/289,956	026880-00037
	160	06/12/2008	09/289,956	026880-00037
	161	03/22/2007	09/289,956	026880-00037
	162	07/24/2006	09/289,956	026880-00037
	163	01/30/2006	09/289,956	026880-00037
	164	06/23/2005	09/289,956	026880-00037
	165	10/03/2007	09/237,828	026880-00008
	166	03/05/2007	09/237,828	026880-00008
	167	09/08/2006	09/237,828	026880-00008
	168	05/12/2005	09/237,828	026880-00008
	169	08/25/2004	09/237,828	026880-00008
	170	08/28/2009	09/237,827	026880-00013
	171	03/02/2009	09/237,827	026880-00013
	172	08/18/2008	09/237,827	026880-00013

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Date Considered

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Application Number	09/427,938
Filing Date	October 27, 1999
First Named Inventor	John S. HENDRICKS
Art Unit	2142
Examiner Name	Michael D. Meucci

Sheet	5	of	13	Attorney Docket Number	026880.00004
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**Office Actions in the related U.S. cases**

Examiner Initials	Cite No.	Date of Office Action	U.S. Application Serial Number	Attorney Docket No.	
	173	01/09/2008	09/237,827	026880-00013	
	174	07/23/2007	09/237,827	026880-00013	
	175	05/16/2007	09/237,827	026880-00013	
	176	01/12/2007	09/237,827	026880-00013	
	177	08/02/2006	09/237,827	026880-00013	
	178	10/19/2005	09/237,827	026880-00013	
	179	01/26/2005	09/237,827	026880-00013	
	180	08/03/2009	09/237,826	026880-00016	
	181	02/13/2009	09/237,826	026880-00016	
	182	08/15/2008	09/237,826	026880-00016	
	183	12/07/2007	09/237,826	026880-00016	
	184	06/27/2007	09/237,826	026880-00016	
	185	12/19/2006	09/237,826	026880-00016	
	186	06/14/2006	09/237,826	026880-00016	
	187	12/06/2005	09/237,826	026880-00016	
	188	06/06/2005	09/237,826	026880-00016	
	189	05/25/2004	09/237,826	026880-00016	
	190	06/15/2005	09/237,825	026880-00030	
	191	06/04/2004	09/237,825	026880-00030	
	192	05/12/1998	08/336,247	026880-00027	
	193	07/24/1997	08/336,247	026880-00027	
	194	04/29/1997	08/336,247	026880-00027	
	195	08/28/1996	08/336,247	026880-00027	
	196	06/27/1996	08/336,247	026880-00027	
	197	11/01/1995	08/336,247	026880-00027	

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/Patrice Winder/

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Application Number	29/427,938
Filing Date	October 27, 1999
First Named Inventor	John S. HENDRICKS
Art Unit	2142
Examiner Name	Michael D. Meucci
Attorney Docket Number	026880.00004

Sheet 6 of 13

**Office Actions in the related Foreign cases**

Examiner Initials	Cite No.	Date of Office Action	Application Number	Country	Attorney Docket No.
	198	IPER dated 03/06/1996 for PCT/US94/13808	PCT/US94/13808	PCT	026880-00144
	199	Written Opinion dated 11/16/1995 for PCT/US94/13808	PCT/US94/13808	PCT	026880-00144
	200	ISR dated 04/05/1995 for PCT/US94/13808	PCT/US94/13808	PCT	026880-00144
	201	ISR dated 02/21/2002 for PCT/US01/10559	PCT/US01/10559	PCT	026880-00133
	202	IPER dated 04/11/2002 for PCT/US01/04946	PCT/US01/04946	PCT	026880-00134
	203	Written Opinion dated 12/04/2001 for PCT/US01/04946	PCT/US01/04946	PCT	026880-00134
	204	ISR dated 10/16/2001 for PCT/US01/04946	PCT/US01/04946	PCT	026880-00134
	205	Partial ISR dated 11/18/2002 for PCT/US00/29813	PCT/US00/29813	PCT	026880-00130
	206	IPER dated 10/18/2001 for PCT/US00/09542	PCT/US00/09542	PCT	026880-00131
	207	Written Opinion dated 06/19/2001 for PCT/US00/01708	PCT/US00/01708	PCT	026880-00142
	207	ISR dated 06/26/2000 for PCT/US00/01708	PCT/US00/01708	PCT	026880-00142
	209	05/08/1998	95904787.9	EP	026880-00072
	210	12/07/2004	515760/95	JP	026880-00150
	211	04/13/2004	515760/95	JP	026880-00150
	212	01/30/2009	2459780	CA	026880-00071
	213	11/16/2007	2459780	CA	026880-00071
	214	01/30/2009	2459779	CA	026880-00070
	215	11/21/2007	2459779	CA	026880-00070
	216	06/18/2007	2459529	CA	026880-00108
	217	10/20/2004	2459528	CA	026880-00107
	218	05/01/2008	2459063	CA	026880-00097
	219	12/13/2006	2459063	CA	026880-00097
	220	03/10/2006	2459063	CA	026880-00097
	221	04/22/2009	2459059	CA	026880-00106
	222	03/28/2008	2459059	CA	026880-00106
	223	05/17/2007	2459059	CA	026880-00106
	224	06/26/2007	2459048	CA	026880-00098
	225	10/04/2006	2459048	CA	026880-00098
	226	12/20/2004	2458564	CA	026880-00096
	227	05/14/2004	2458564	CA	026880-00096
	228	06/21/2007	2458379	CA	026880-00069

Examiner  
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/Patrice Winder/

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First Named Inventor	John S. HENDRICKS
Art Unit	2142
Examiner Name	Michael D. Meucci

Sheet	7	of	13	Attorney Docket Number	026880.00004
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## **Office Actions in the related Foreign cases**

Examiner Initials	Cite No.	Date of Office Action	Application Number	Country	Attorney Docket No.
	229	11/05/2007	2458355	CA	026880-00102
	230	11/10/2006	2458355	CA	026880-00102
	231	11/25/2005	2458355	CA	026880-00102
	232	04/12/2005	2458346	CA	026880-00068
	233	05/12/2004	2458346	CA	026880-00068
	234	01/24/2007	2458337	CA	026880-00099
	235	12/28/2005	2458337	CA	026880-00099
	236	12/06/2004	2458337	CA	026880-00099
	237	05/06/2008	2458317	CA	026880-00067
	238	11/10/2006	2458317	CA	026880-00067
	239	03/13/2006	2458317	CA	026880-00067
	240	02/16/2009	2458317	CA	026880-00103
	241	10/31/2007	2458317	CA	026880-00103
	242	10/03/2006	2458258	CA	026880-00105
	243	ISR dated 02/04/2003 for PCT/US00/29813	2388649	CA	026880-00005
	244	IPER dated 01/25/2002 for PCT/US00/29813	2388649	CA	026880-00005
	245	Written Opinion dated 09/05/2001 for PCT/US00/29813	2388649	CA	026880-00005
	246	ISR dated 02/21/2003 for PCT/US00/29809	2388648	CA	026880-00003
	247	IPER dated 02/11/2002 for PCT/US00/29809	2388648	CA	026880-00003
	248	Written Opinion dated 09/05/2001 for PCT/US00/29809	2388648	CA	026880-00003
	249	02/12/2009	2385097	CA	026880-00065
	250	IPER dated 03/25/2002 for PCT/US00/25826	2385097	CA	026880-00065
	251	Written Opinion dated 11/02/2001 for PCT/US00/25826	2385097	CA	026880-00065
	252	ISR dated 06/22/2001 for PCT/US00/25826	2385097	CA	026880-00065
	253	Written Opinion dated 05/29/2001 for PCT/US00/09542	2371379	CA	026880-00061
	254	ISR dated 11/02/2000 for PCT/US00/09542	2371379	CA	026880-00061
	255	04/30/2009	2369779	CA	026880-00060
	256	ISR dated 11/02/2000 for PCT/US00/09541	2369759	CA	026880-00059
	257	04/09/2009	2361371	CA	026880-00058
	258	10/17/2007	2361371	CA	026880-00058
	259	07/06/2006	2361371	CA	026880-00058

Examiner  
Signature

/Patrice Winder/

Date  
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Examiner Name	Michael D. Meucci

Sheet	8	of	13	Attorney Docket Number	026880.00004
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**Office Actions in the related Foreign cases**

Examiner Initials	Cite No.	Date of Office Action	Application Number	Country	Attorney Docket No.
	260	09/10/2008	2359690	CA	026880-00057
	261	03/29/2007	2002/002984	MX	026880-00112
	262	09/11/2008	2002/002555	MX	026880-00111
	263	01/25/2007	2002/002555	MX	026880-00111
	264	02/12/2007	2002/000197	MX	026880-00116
	265	IPER dated 02/14/2002 for PCT/US00/31740	2001-539201	JP	026880-00127
	266	Written Opinion dated 10/19/2001 for PCT/US00/31740	2001-539201	JP	026880-00127
	289	ISR dated 09/18/2001 for PCT/US00/31740	2001-539201	JP	026880-00127
	268	IPER dated 08/22/2003 for PCT/US00/17266	2001-506518	JP	026880-00126
	268	Written Opinion dated 01/31/2003 for PCT/US00/17266	2001-506518	JP	026880-00126
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Examiner  
Signature

/Patrice Winder/

Date  
Considered

12/21/2009

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
Form PTO/SB/08a

(Use as many sheets as necessary)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Form PTO/SB/08a  (Use as many sheets as necessary)			Application Number	09/427,938	
			Filing Date	October 27, 1999	
			First Named Inventor	John S. HENDRICKS	
			Art Unit	2142	
			Examiner Name	Michael D. Meucci	
Sheet	9	of	13	Attorney Docket Number	026880.00004

**Office Actions In the related Foreign cases**

Examiner Initials	Cite No.	Date of Office Action	Application Number	Country	Attorney Docket No.
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Sheet	10	of	13	Application Number	09/427,938
				Filing Date	October 27, 1999
				First Named Inventor	John S. HENDRICKS
				Art Unit	2142
				Examiner Name	Michael D. Meucci
				Attorney Docket Number	026880.00004

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Sheet	11	of	13	Attorney Docket Number	026880.00004
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Substitute for form 1449A/PTO			Application Number		09/427,938	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>Form PTO/SB/08a</b> <i>(Use as many sheets as necessary)</i>			Filing Date		October 27, 1999	
			First Named Inventor		John S. HENDRICKS	
			Art Unit		2142	
			Examiner Name		Michael D. Meucci	
Sheet	12	of	13	Attorney Docket Number		026880.00004
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				Art Unit		2142	
				Examiner Name		Michael D. Meucci	
Sheet	13	of	13	Attorney Docket Number		026880.00004	
<b>Non-Patent Literature Documents</b>							
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					T <sup>2</sup>
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